# भारतीय गेर न्यायिक एक सो रुपये हि. 100 हरे: 100 भारत INDIA NON JUDICIAL

# මිපරුෆංක तेलंगाना TELANGANA

SI.No. (802). Date: 10-07-2018, Rs.100/-Sold To: G.S.VINAYAK, S/O. G.EASHWARNATH, R/O.HYD FOR WHOM : NSE ACADEMY LTD

977782

DEM

YDE

KONDA KAVITHA Licenced Stamp Vendor SVL No: 16-04-06/2016 H.No. 8-2-460/64, Road No.4 Sukdev Nagar, Banjara Hills, Hyderabad Ph. 9248325639

# AGREEMENT

This Agreement ("Agreement") entered into at Hyderabad on this 11 the day of July, 2018 ("Effective Date") between

NAL Academy Ltd (hereinafter referred to as NAL), a company incorporated under the provisions of the Companies Act, 2013 and having its registered office at Exchange Plaza, Plot C/1, G Block, Bandra Kurla Complex, Bandra East, Mumbai 400051 (NAL includes its successors-in-interest and permitted assignees); the party of the First Part;

AND

Bhavan's Vivekananda College of Science, Humanities and Commerce, (hereinafter referred to as BVC) having its Registered Office Premises at Sainikpuri, Secunderabad -94, the party of the Second Part;

Collaborator and NAL collectively referred to as "Parties" and individually as a "Party".

# WHEREAS

ä

H<sub>B.</sub>

A. The Collaborator is an autonomous College affiliated to Osmania University, Hyderabad.

NAL is a wholly owned subsidiary of National Stock Exchange of India Limited (NSE). It carries out a number of educational initiatives such as certification programs, investor seminars, Page 1 of 14





జిల్లా రిజిస్టార్ హెహరు పైద్రబాదు జిల్లా (దక్షిణ్య) ☆ E 5 MAR 2018 +-The Secil of the Dist. Registror of Hyderched (Swaht אליש נבין ונבגני ונואני NEA



# මිපරුෆංක तेलंगाना TELANGANA

SI.No. 8030 Date: 10-07-2018, Rs.100/-Sold To: D.S.VINAYAK, S/O. G.EASHWARNATH, R/O.HYD FOR WHOM : NSE ACADEMY LTD

8

3

Î

C.

₿D.

KONDA KAVITHA

Licenced Stamp Vendor SVL No: 16-04-06/2016 H.No. 8-2-460/64, Road No.4 Sukdev Nagar, Banjara Hills, Hyderabad Ph. 9248325639

s. 100

HUNDRED RUPEES

secondary and senior secondary school level courses, development of educational materials on financial markets etc. It promotes financial literacy and skill oriented financial markets courses and has also introduced an online examinations system for testing and certification called NAL's Certification in Financial Markets (hereinafter called as NCFM). NCFM is an online testing system, a revolutionary concept in administration of examinations and the only one of its kind today in the country. NAL has also launched various international certificate & PG programs to empower and develop the skill sets that many enhance their ability further and also to be competitive in the international financial sector.

To strengthen and create more value for the students to become aware, knowledgeable and empowered in the financial domain and also to be able to develop the skills to enable them to become self-sustained entrepreneurs, NAL and Collaborator have agreed to collaborate to achieve the aforesaid objectives as envisioned by them and more specifically defined in the scope of this Agreement.

Collaborator and NAL are desirous of recording the broad terms of their understanding for the areas identified for co-operation and commit to co-operate to the fullest extent.





Page 2 of 14

జిల్లా రిజిస్కెర్ మోహరు పౌద్రబాదు జిల్లా (దక్షిణం) \* E 5 MAR 2018 \* مرهباج رجش ارحيار آباد وجؤب

the second s

ACCESSION.





E. The Parties are now entering into this Agreement for the purpose of recording such terms and conditions relating to the understanding.

# NOW THE REFORE, THIS AGREEMENT WITNESSES, RECORDS, BINDS AND GOVERNS THE CONTRACTUAL RELATIONSHIP BETWEEN THE PARTIES AS FOLLOWS:

#### 1. DEFINITION

In this Agreement, unless the context otherwise requires, the following words and expressions shall have the following meanings:-

- 1.1 "Agreement" shall mean this agreement together with the recitals, annexures, and Statement of Work as defined below.
- 1.2 "Candidate" shall mean any person who has registered himself/herself as a participant for the course.
- 1.3 "Course" shall mean the course(s) as mentioned in annexed Statement of Work other course(s) as may be jointly offered by BVC and NAL from time to time.
- 1.4 "Statement of Work" or SOW means a statement in the format provided in Annexure 1 separately prepared, approved and signed by both Parties in accordance with this Agreement specifying the scope of services to be performed, the time and manner of performance including milestones, schedules, the tasks to be accomplished, technical specifications, implementation and testing, warranty, fees and default details, the purposes to be achieved by the deliverables under the scope, and such other details as may be mutually agreed between the Parties.
- 1.5 "Intellectual Property Rights" means all perpetual and worldwide rights, title and interest of every kind, nature and media, whether now known or hereafter devised, including, without limiting the generality of the foregoing, all tangible and intangible property and rights of every kind and nature (including, without limitation, copyrights, patents, trademarks) in and to any ideas, concepts, creations, discoveries, inventions, improvements, know how, trade or business secrets; trademarks, service marks, designs, utility models, tools, devices, models, methods, procedures, processes, systems, principles, synthesis protocol, algorithms, works of authorship, flowcharts, drawings, books, papers, models, sketches, formulas, teaching techniques, proprietary techniques, research projects, and other confidential and proprietary information, databases, data, documents, instruction manuals, records, memoranda, notes and user guides, literary property right, personal right, moral right, musical, dramatic or other literary material of any kind, whether protectable or not under any other intellectual property law.

#### 2. SCOPE

2.1 NAL and BVC has launched various Courses as mentioned in Clause 1.3 of this Agreement. It is agreed and understood between both the Parties that NAL shall be responsible for developing, improving and enriching the content of aforesaid various Course(s) and BVC shall act as an infrastructure provider for conducting/facilitating the enrolments of the Candidates for conducting the training and examinations for such Course(s).





Page 3 of 14

- 2.2 NAL shall provide a technological platform, as it deems fit based on the scope of work, to the Candidate(s) for the purpose of registering, enrolling, and subsequently taking offline examinations of Course(s)
- 2.3 If at any time in the future, the Parties intend to extend the scope of this Agreement beyond that which has been contemplated herein ("Additional Scope"), the Parties may enter into separate SOW in pursuance of this Agreement providing for the agreed matrix for delivery and rendering of services between NAL and BVC as agreed upon under each of the respective SOW, which will cover the exact scope, the commercial terms, the roles and responsibilities of the respective Parties to the SOW, and the methodology for rendering such additional scope.
- 2.4 The successful Candidates shall be awarded with a joint certificate by BVC and NAL.

#### 3. CONSIDERATION

The Parties herein agree that they shall pay their respective share of costs and expenses for preparing the Course Material and for conducting the Trainings and Examinations in the manner, method as may be mutually agreed upon by them in the respective SOW for different Institutions.

#### 4. DURATION

- 4.1 This Agreement shall be valid for a period of 3 (three) years from the Effective Date.
- 4.2 The Agreement shall on the expiry of 3 (three) years from the date of execution stand terminated without any notice by either party; however the Parties shall be entitled to renew this Agreement before its expiry through a written instrument signed by both Parties, on mutually agreeable terms.

#### 5. GENERAL REPRESENTATIONS AND WARRANTIES

- 5.1 Each Party represents and warrants to the other that:
  - a. It has the full power and absolute authority to enter into, execute and deliver this Agreement and to perform its obligations and the transactions contemplated hereby and, it is duly incorporated and validly registered under the laws of the jurisdiction of its incorporation or organisation.
  - b. The execution and delivery of this Agreement and the performance by it of the transactions contemplated hereby have been duly authorised by all necessary corporate or other internal action of such Party.
  - c. The execution, delivery and performance of this Agreement does not constitute a breach of any agreement, arrangement or understanding, oral or written, entered into by it with any third party;
  - d. The execution, delivery and performance by it of this Agreement does not violate any statute, law, regulation, rule, order, decree, injunction or other restriction of any governmental entity, court or tribunal to which it is subject;
- 5.2 Each Party warrants to the other that the representations and warranties in Clause 5 hereof are true and accurate in all respects and do not contain any untrue statement of any fact or omit to state any necessary or material fact.





Page 4 of 14

## 6. RESPONSIBILITIES, OBLIGATIONS AND UNDERTAKINGS OF NAL

- 6.1 NAL shall take or cause to be taken all necessary steps and actions within its control in a timely manner to enable Collaborator to perform all the Services in accordance with this Agreement.
- 6.2 Subject to the Dispute Resolution clause of this Agreement, each Party shall use its best endeavours to resolve any problems that may or are likely to impede or delay the timely performance by provider of the services as described in, and in accordance with this Agreement.
- 6.3 Notwithstanding anything to the contrary contained in this Agreement, each Party hereby acknowledges and confirms that the performance by the other Party of its obligations under and in accordance with this Agreement is interlinked with the due performance of its own duties, obligations and responsibilities under and in accordance with this Agreement.

# 7. RESPONSIBILITIES, OBLIGATIONS AND UNDERTAKINGS OF COLLABORATOR:

7.1 Collaborator hereby acknowledges that National Stock Exchange of India Ltd (NAL) has in the past and will continue in the future, to put in various efforts in the development of the capital markets in India and in spreading awareness and knowledge of investing in capital market, for which it has incurred significant costs over a period of time. In an effort to further enhance the visibility of investing in capital markets in India and in an attempt to make the younger generation of Indians aware of the advantages and merits in investing in the Indian capital markets, NAL has formed its wholly owned subsidiary NAL to carry out this initiative and NAL has decided to enter into the transaction contemplated under this Agreement. In view of this and the mutual benefits which the Parties will derive by being associated with each other in this endeavour, Collaborator on its own agrees that this engagement with NAL shall be on an exclusive basis from the date of this Agreement and that Collaborator will not associate nor undertake any work of any nature that is in direct competition with or detrimental to the Programme during the validity of this Agreement, without NAL prior written consent.

# 8. CONFIDENTIALITY

- 8.1 The Parties herein undertake to keep confidential and not to disclose any information (written, electronic or oral) directly or indirectly concerning the (i) transactions contemplated herein, (ii) any data relating to the Seminars which is not publicly released, and (iii) the existence of this Agreement ("Confidential Information"), all of which information might have been obtained or received by either Party before or during the term of this Agreement save that which is:
  - Already in its possession other than as a result of a breach of this clause; or
  - b. In the public domain other than as a result of a breach of this clause; or
  - c. Was subsequently disclosed to it lawfully by a third party who lawfully obtained the same and who was not bound by any obligation of confidence in respect thereof to the disclosing party.
- 8.2 The Parties herein shall not disclose any Confidential Information to any third party for the term of this Agreement. All Confidential Information of the Parties shall remain the sole



Page 5 of 14

and exclusive property of such respective Party which disclosed it. No license under any trademark, patent rights or copyright or application for same, is either granted or implied by the disclosure of Confidential Information by either Party under this Agreement.

#### 9. INTELLECTUAL PROPERTY

- 9.1 NAL is the sole and absolute owner of all right, title and interest over the Course Material and the online/offline examination system. Except as is stated herein, NAL does not grant the Collaborator any rights or intellectual property rights over the Course Material and/or the online/offline examination system. Nothing contained herein is intended to assign, license or otherwise transfer any such rights of NAL to the Collaborator.
- 9.2 Neither Party during the course of performance of this Agreement desires or intends to transfer any intellectual property rights whatsoever with respect to any information that is proprietary.

#### 10. INDEMNITY

The Collaborator shall indemnify NAL and hold NAL harmless from and against any direct and actual losses, reasonable costs including without limitation the reasonable fees, costs of investigation, expenses, claims, damages, penalties and liabilities arising out of any claims, actions or proceedings (collectively, "Losses") which may be incurred, made against or suffered by NAL, its directors, officers, agents or employees arising directly out of or in connection with or as a consequence of (i) the non-performance of the obligations of the Collaborator as detailed herein, (ii) any material breach of any representations, warranties, covenants or undertakings, express or implied, of the Collaborator in this Agreement. The total liability of a Collaborator under this Agreement shall not exceed to an amount paid under this Agreement except in the case of liability for gross negligence, death or personal injury and for fraud, fraudulent misrepresentation or deceit.

#### 11. TERM AND TERMINATION

- 11.1 This Agreement has come into effect on and from the Effective Date. This Agreement will continue to be in force until terminated as per the provisions of this Clause, subject to Clause 4 hereinabove (Duration).
  - a. Notwithstanding anything to the contrary herein contained or implied, either Party ("Non-Defaulting Party") shall be entitled to terminate this Agreement by giving to the other Party ("Defaulting Party") not less than 15 (fifteen) days prior written notice in the event the Defaulting Party has breached any of its material obligations or responsibilities under this Agreement and such breach is not rectified within a period of 15 (fifteen) days from the date of receipt of written notice from the Non Defaulting Party; or
  - b. Notwithstanding anything to the contrary herein contained or implied, either party shall, in its sole discretion, be entitled to terminate this Agreement without assigning reason by giving not less than 30 (thirty) days prior written notice.





Page 6 of 14

11.2 In the event this Agreement is terminated, all individual Work Orders entered into by NAL with the Institutions of the Collaborator under this Agreement shall automatically stand terminated, unless otherwise agreed upon in writing. However, in the event any Work Order with an individual Institution of the Collaborator is terminated, this Agreement shall continue to subsist, unless separately terminated by the Parties in accordance with the terms of this Agreement.

#### 12. CONALQUENCES OF TERMINATION

- 12.1 NAL and BVC shall, subject to the provisions of this Agreement, pay to the other Party on the date of termination any amount then payable by such Party under and in accordance with this Agreement.
- 12.2 The Parties shall immediately return all Confidential Information of the other Party, which is in its possession. At the request of the discloser of the Confidential Information, the receiver of the Confidential Information shall destroy all Confidential Information in its possession and to certify the same in writing.
- 12.3 The grant of the IP Material shall cease and the both Parties shall immediately return to the other Party, its respective IP Material.
- 12.4 The provisions of this Clause shall survive the termination of this Agreement and shall be without prejudice to the right of NAL to claim damages as a result of any breach by Service Provider of its duties, obligations or responsibilities under this Agreement, whether prior to or arising as a consequence of the earlier termination of this Agreement.

#### 13. DISPUTE RESOLUTION

- 13.1 In the case of any dispute or claim arising out of or in connection with or relating to this Agreement its construction or interpretation or the rights, duties and obligations of either of the Parties hereto, or the termination or validity hereof, the Parties shall attempt to first resolve such dispute or claim through good faith discussions between their CEO's/Designated Officers.
- 13.2 If the dispute or claim is not resolved through such discussions as contemplated in clause 13.1 above within 30 (thirty) days after one Party has served a written notice on the other Party requesting the commencement of discussions, then such dispute or claim shall be referred at the request in writing of either Party to binding arbitration by a panel of 3 (three) arbitrators ("the Arbitration Board") in accordance with the Arbitration and Conciliation Act, 1996 and any amendments or modifications made thereto. All arbitration proceedings shall be conducted in the English language and the seat and venue of arbitration shall be in Mumbai. The Parties shall be entitled to seek interim relief from the courts of India for which the parties submit to the exclusive jurisdiction of the courts of law in Mumbai. The Arbitration Board shall decide any such dispute or claim strictly in accordance with the governing law specified in Clause 13 below. Judgment upon any arbitration may be made to such court for judicial acceptance of the award and an order of enforcement, as the case may be.
- 13.3 Each Party shall co-operate in good faith to expedite (to the maximum extent practicable) the conduct of any arbitral proceedings commenced under this Agreement.
- 13.4 The costs and expenses of the arbitration, including, without limitation, the fees of the Arbitration Board, shall be borne equally by the Parties to the dispute or claim and each





Page 7 of 14

Party shall bear and pay its own costs, expenses, fees, disbursements and other charges of its counsel in connection with the arbitration proceedings, except as may be otherwise determined by the Arbitration Board. The Arbitration Board shall have the power to award interest on any sum awarded pursuant to the arbitration proceedings and such sum would carry interest, if awarded, until the actual payment of such amounts.

13.5 Any award made by the Arbitration Board shall be final and binding on both the Parties.

#### 14. GOVERNING LAW

This Agreement shall be governed by and construed in accordance with the laws of India as applicable from time to time. Subject to the Arbitration clause herein, all legal disputes shall be subjected to the courts at Mumbai only.

#### **15. FORCE MAJEURE**

- 15.1 Any non-performance or delay in performance by either Party of any of its duties, obligations or responsibilities under this Agreement, shall be excused if, and to the extent that such non-performance or delay in performance is caused by Force Majeure.
- 15.2 Force Majeure shall mean the following events and circumstances to the extent that they, or their consequences, have an effect described herein:
  - acts of God, including without limitation fire, storms, floods, earthquake or lightning;
  - b. war, hostilities, terrorist acts, riots, civil commotion or disturbances, change in governmental laws, orders or regulations adversely affecting or preventing due performance by either Party of its duties, obligations or responsibilities under this Agreement, embargoes, actions by a government, central or state in India or overseas, or an agency thereof, sabotage or explosions;
  - c. strikes, lockouts or other concerted industrial action; or
  - Any such other acts, occurrences, events or circumstances beyond the reasonable control of the Party affected.
- 15.3 If such Force Majeure as aforesaid continues for an uninterrupted period exceeding 60 (sixty) Business Days, the Parties shall meet forthwith to discuss the consequences of the Force Majeure and the course of action to be taken to mitigate the effects thereof or to be adopted in the circumstances.

#### 16. MISCELLANEOUS

- a) Neither Party shall be liable to the other for special, indirect or consequential losses or damages incurred or suffered by the other Party arising as a result of or arising out of this Agreement.
- b) The Parties shall not assign any of the rights under this Agreement, or delegate the performance of any of their obligations hereunder, without the prior written consent of the other Party.
- c) No amendment, change or modification of this Agreement shall be valid unless it is in writing and signed by both the Parties.





Page 8 of 14

- d) If any provision of this Agreement shall be found by any court or government or administrative body of competent jurisdiction to be invalid or unenforceable, the invalidity or un-enforceability of such provision shall not affect the other provisions of this Agreement and all provisions not affected by such invalidity or un-enforceability shall remain in full force and effect.
- e) Either Party may (i) extend the time for performance of any of the duties, obligations or responsibilities of the other Party (ii) waive any duties, obligations or responsibilities of the other Party contained herein. Any such extension or waiver shall be valid only if set forth in an instrument in writing signed by the Party to be bound thereby. Any waiver of any term or condition shall not be construed as a waiver of any subsequent breach or a subsequent waiver of the same term or condition, or a waiver of any other term or condition, of this Agreement. The failure of a Party to assert any of its rights hereunder shall not constitute a waiver of any such rights.
- f) This Agreement will be executed simultaneously in two counterparts, each of which will be deemed to be an original but all of which together will constitute one and the same instrument.
- g) This Agreement constitutes the entire understanding and agreement of the Parties, and save the Service Agreements that are to be entered into in accordance with the terms of this Agreement, any and all prior agreements, understandings, and representations are hereby terminated and cancelled in their entirety and are of no further force and effect.
- h) <u>Notice</u>: All notices, approvals, instructions, demand and other communication given or made under this Agreement shall be in writing and may be given by electronic mail, facsimile, by personal delivery or by sending the same by pre-paid registered mail addressed to the relevant Party at its postal address, email address or fax number set out below (or such other address or fax number as the addressee has by 5 (five) Business Days' prior written notice specified to the other Parties).

#### To NAL

Attn.: Mr. G S Vinayak Address: National Stock exchange of India Ltd Suite 24 & 25, Vatika Business Centre, 3rd Floor, NSL Icon, 8-2-684/2/A, Plot No. 1-4, Rd No 12, Banjara Hills, Hyderabad – 500034 Contact No.: 040- 44311393/396 E Mail Id: ncfm hyderabad@nse.co.in

#### To Collaborator

Attn.: Dr K Sreelatha Reddy Address: Head, Department of Commerce Bhavan's Vivekananda College of Science, Humanities and Commerce Sainikpuri, Secunderabad - 500094 Contact No.: 99480 78133 E Mail Id: srilathareddybvc@gmail.com

Any notice, approval, instruction, demand or other communication so addressed to the relevant Party shall be deemed to have been delivered (1) if given or made by registered mail, 10 (ten) Business Days after posting; (2) if given by personal delivery at the time of Page 9 of 14



delivery; (3) if given or made by facsimile, upon receipt of a transmission report confirming dispatch; and (4) if given by electronic mail, upon receipt of a confirmation of delivery.

a - 14

Any notice required to be made or given hereunder may be signed by an officer, manager or authorized representative of the Party giving or making the same. No recipient shall be required or obliged to inquire as to the authority of the officer, manager or authorized representative so signing.

i) <u>Public Announcement</u>. The Parties hereto shall consult with each other before issuing and shall provide the other with a reasonable opportunity to review and comment upon, any press release or other public statements with respect to the transaction contemplated by this Agreement or any part thereof, and shall not issue any such press release or make any such public statements prior to such consultation except as may be required by applicable law or judicial process or for correspondence with Regulatory authorities.

IN WITNESS where of the Parties hereto have set their respective signatures on the date mentioned above under their seal of office.

For and on behalf of	For and on behalf of
NSE Academy Limited	Bhavan's Vivekananda College of Science, Humanities and Commerce
Athene Ini Sumonan	CHA ( Canton ) =)
Name: Mr Achal Jaiswal	Name: Prof Y Ashok
Title: Vice President	Title: Principal
In the Presence of	In the Presence of:
Name: GIS VINAYAK	Name: Dr. D. Sanjeeva Rao

# Annexure 1

# Statement of Work

# Statement of Work





This Statement of Service ("SOW") dated <u>11</u> **11** is entered and issued in terms of the Principal Agreement dated <u>11</u> **118** is entered and executed between NSE Academy Ltd (NAL) and Bhavan's Vivekananda College of Science, Humanities and Commerce, Sainikpuri, Secunderabad (BVC).

This SOW forms a part and parcel of the Agreement. This SOW shall govern the provisioning of the Additional Scope and/or the Specified Transaction including without limitation the scope of the project, deliverables if any, delivery period, payment of fees. Unless otherwise stated herein, in the event of a conflict between the terms of the Agreement and any SOW, the terms of the SOW shall prevail. Capitalized terms not defined herein shall have the meaning ascribed to it in the Agreement.

## Background

NAL and BVC agreed to collaborate to offer the below two Courses to strengthen and create more value for the students and empower them in the financial domain.

- (i) Mutual Funds Proficient
- (ii) Securities Market Proficient

## SOW Scope

The scope of SOS will be applicable to all the candidates opting and registering for undergoing training for anyone or both of the below mentioned modules

- (i) Mutual Fund Proficient
  - It is a classroom program that comprises of a series of lectures, discussions, assignments and Offline Examination.
  - > To be offered to Students of B Com V Semester Students
  - Duration of the course will be 30 hours

# Course Syllabus

- Mutual Funds: Concept and structure of mutual funds in India; Role of custodian; Registrar and transfer agent; AMC; New fund offer's & procedure for investing in NFO: Investors rights and obligations.
- Mutual Fund Products and Features: Concept of open ended and closed ended funds: Types of funds- equity, index, diversified large cap funds, sec or fund and





Page 11 of 14

equity schemes; Concept of entry and exit load; Expense ratio; Portfolio turnover; AUM; Analysis of each level of portfolio.

- ETFs: Introduction to exchange traded funds; Market making by authorized participants; creation units; Portfolio deposit and cash component.
- Liquid Funds & Debt Funds: Salient features of liquid funds and Debt Funds; Floating rate scheme and portfolio churning in liquid funds.
- Taxation: taxation of capital gains; Indexation benefit and FMP.
- Regulations: Role and objectives of AMFI; Different types of plans; Systematic Investment Plan (SIP); Systematic Transfer Plan (STP) and Systematic Withdrawal Plan (SWP); Dividend payout.

#### **Examination Pattern**

Offline examination of 2 hours comprising of 100 questions of 1 mark each with equal weightage for all topics. Question paper to be designed by NAL and BVC to conduct and administer the examination. All the examination papers to be evaluated by NAL and a Joint certificate to be issued to all successful candidates. Pass mark for the Mutual Funds Proficient Course will be 50%.

## (ii) Securities Market Proficient

- It is a classroom program that comprises of a series of lectures, discussions, assignments and Offline Examination.
- > To be offered to Students of B Com VI Semester Students
- > Duration of the course will be 30 hours

#### Course Syllabus

- Securities market and financial system; Products, participants and functions; Primary market; Secondary market; Derivatives market; Regulators; Exchanges; Depositories; Clearing corporations; Regulatory framework; Reforms.
- Primary Market: Book building; Credit rating; Merchant banking; On-line IPOs; Demat issues; Private placement; Virtual debit portals; ADRs/GDRs; Other regulations; Public issues; Euro issues; Debt issues; Collective investment vehicles viz., MFs, VCFs, CISs,
- Secondary Market: Membership; Listing; Trading and settlement mechanism; Technology; Trading rules- Insider trading; Unfair trade practices; Takeovers; Buy back; Turnover;; Transaction costs; Risk management; Indices.
- Government Securities Market: Indian Debt market; Primary market; Secondary market-NDS; NDS-OM; CCIL; Wholesale debt market (WDM) segment of NAL.





Page 12 of 14

- Derivatives Market: Products, Participants and functions; Trading mechanism; Membership; Contract specification; Clearing & Settlement; Open interest; Implied interest rate; Implied volatility; Risk management; Debt derivatives.
- Mathematics and Statistics: Measures of central tendency; Return and Risk

#### **Examination Pattern**

21 1

Offline examination of 2 hours comprising of 100 questions of 1 mark each and with equal weightage for all topics. Question paper to be designed by NAL and BVC to conduct and administer the examination. All the examination papers to be evaluated by NAL and a Joint certificate to be issued to all successful candidates. Pass mark for the Mutual Funds Proficient Course will be 50%.

#### Deliverables

#### NAL Deliverables:

- NAL will provide study material in pdf (soft copy) for the agreed Course(s) to the institution, to be distributed to the students.
- NAL jointly with the college to issue a course completion certificate to all successful students after each semester.
- NAL to provide trainer for delivery of the content for both the semesters.

#### **BVC** Deliverables

- College to enrol the students for the aforesaid course for both the semesters.
- College to provide adequate infrastructure for delivering training and for conducting examination.
- College to share the details of students enrolled for the course batch wise before commencement of the course.
- College to remit NAL fees within 7 days after completion of registration process.
- College to facilitate2 hours of classroom training per week spread across 15 weeks per semester per batch simultaneously for all 3 batches.

#### Fees and Payment

Fees for the aforesaid course would be Rs 2,500/- (incl GST) per student per semester.

BVC to transfer the aforesaid fees to NAL within 7 days of closing of admissions by way of fund transfer via netbanking or by demand draft drawn in favour of "NSE Academy Limited" payable at Hyderabad.



Page 13 of 14

For and on behalf of	For and on behalf of
NSE Academy Limited	Bhavan's Vivekananda College of Science, Humanities and Commerce
Arhal In Company	482 (275
Name: Mr Achal Jaiswal	Name: Prof Y Ashok
Title: Vice President	Title: Principal
Date: 11 - July - 2018	Date: 11 - July - 2-018
In the Presence of:	In the Presence of:
Ningetter	108Ras
Name: GIS VINAMAS	Name: Dr. D. Sarjeeva Roo

14

Page 14 of 14